



MEMORANDUM – 2026-008

TO : ALL TRADING PARTICIPANTS
SUBJECT : EMPLOYEE MOVEMENT
DATE : 07 APRIL 2026

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 March 2026.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
31-Mar-26	CUSTODIO	ROSEMARIE T.	SETTLEMENT OFFICER	-	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	RESIGNATION (clearance under process)
30-Mar-26	TAN	JOAQUIN MARI D.	CERTIFIED FUND SPECIALIST	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
29-Mar-26	SALVADOR	AARON M.	INSTITUTIONAL SALES MANAGER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
28-Mar-26	UNLAY	LUIS GABRIEL S.	INSTITUTIONAL SALES	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
27-Mar-26	GANA JR.	BENJAMIN L.	TRADER	-	LOPEZ, LOCSIN, LEDESMA & CO., INC.	RESIGNATION (cleared)
26-Mar-26	MISAJON	MARIAN GRACE F.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
26-Mar-26	CHAVEZ	JOZZEL M.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
26-Mar-26	DE LEON	JENNIFER GIANELLE L.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
26-Mar-26	APATAN	QUEENNE MARIE D.	NEW ACCOUNTS SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
25-Mar-26	AYCOCHO	JAMES RYAN A.	-	RISK MANAGEMENT OFFICER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
25-Mar-26	CAI	LANCE RAFAEL Y.	-	RESEARCH ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
23-Mar-26	HORCA	AYESSA CHRISTINE A.	-	COMPLIANCE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
23-Mar-26	MEDROZO	CHARMAINE D.	-	CUSTOMER SUPPORT SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
23-Mar-26	SHIBANO	CYZEL ANGEL D.	-	OPERATIONS ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
23-Mar-26	DUMAGAT	JAYSON P.	-	OJT - INFORMATION TECHNOLOGY	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
17-Mar-26	PADERES	KACEY DOMINIQUE H.	-	CAIF CUSTODIAN	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
17-Mar-26	LISBONA	MANUEL ANTONIO G.	PRESIDENT/ SALESMAN	PRESIDENT/ SALESMAN/ CERTIFIED UITF SALES PERSON	PNB SECURITIES, INC.	RE-DESIGNATION
17-Mar-26	LOMUNTAD	MIA MAY D.	ASST. COMPLIANCE OFFICER	ASST. COMPLIANCE OFFICER/ CERTIFIED UITF SALES PERSON	PNB SECURITIES, INC.	RE-DESIGNATION
17-Mar-26	SESTOSO	MC EDRIAN C.	JUNIOR BACKEND DEVELOPER	-	DRAGONFI SECURITIES INC.	RESIGNATION (cleared)
16-Mar-26	ORTAÑEZ	MARA PATRICIA	CERTIFIED UITF SPECIALIST	CERTIFIED FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Mar-26	MALUPAY	ECEL MAE A.	-	CUSTOMER SUPPORT STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Mar-26	SALCEDO	AZELEA COLEEN C.	-	LEGAL OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Mar-26	YAC	JUSTINE AERON B.	-	FUNDS MANAGEMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Mar-26	GUMANAD	RAMIL N.	FIELD REPRESENTATIVE	-	GUILD SECURITIES, INC.	RESIGNATION (clearance under process)
16-Mar-26	ESTRADA	ERNEST JOHN D.	ACCOUNT SERVICES OFFICER	-	BDO SECURITIES CORPORATION	DECEASED
16-Mar-26	ANICETO	MYLES BENEDICT S.	-	INSTITUTIONAL SALES OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Mar-26	SEJANE	BEVERLY H.	-	SETTLEMENT ASSOCIATE	GUILD SECURITIES, INC.	NEW EMPLOYMENT
16-Mar-26	HARVEY	SARAH JEAN R.	SR. SUPERVISOR - INST. SALES	ASSISTANT MANAGER - INSTITUTIONAL SALES	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
16-Mar-26	ISNIT	MARIA TERESA M.	EXECUTIVE ASSISTANT TO THE PRESIDENT	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (cleared)
16-Mar-26	RAÑOSO	RAMIL GINGER A.	-	BACKEND DEVELOPER	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT

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16-Mar-26	DIAZ	DANELLE IANA K..	-	RESEARCH ANALYST	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
14-Mar-26	PEREZ	COLYN P.	CUSTOMER SUPPORT ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
11-Mar-26	DELOS SANTOS	REGINA SOCORRO S.	-	FINANCE ASSOCIATE - CONTRACTUAL	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
10-Mar-26	ALIPAR	JAMES BERNARD L.	MESSENGER	-	WESTLINK GLOBAL EQUITIES, INC.	RESIGNATION (did not apply for clearance)
10-Mar-26	ANG	MICK JAIRO M.	SALESMAN	-	FIDELITY SECURITIES, INC.	DECEASED
10-Mar-26	MAQUILING	RINAND M.	-	INDEPENDENT DIRECTOR	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
10-Mar-26	DATO	IAN NORMAN E.	INDEPENDENT DIRECTOR	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (no clearance required)
10-Mar-26	BARLAM	CHRISTMARK A.	CYBERSECURITY SIEM ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
9-Mar-26	MASANGCAY	EDRIAN V.	-	ACCOUNTING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
9-Mar-26	ANGELES	ERWIN M.	-	AUTHORIZED SALESMAN/ HEAD OF ONLINE RETAIL AND FINANCIAL INCLUSION	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
6-Mar-26	CAMARINES	YVONNE AIRA A.	JUNIOR FINANCIAL ASSOCIATE	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
6-Mar-26	PINCA	STEVE EDWARD D.	JUNIOR ASSOCIATE, MESSENGER/ COLLECTOR	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
5-Mar-26	QUISAOT	JESERAIRE NOC L.	ASSISTANT CORPORATE SECRETARY	-	MERIDIAN SECURITIES INC.	RESIGNATION (no clearance required)
5-Mar-26	MALIWANAG	ALTHEA DOMINIQUE B.	-	ASSISTANT CORPORATE SECRETARY	MERIDIAN SECURITIES INC.	NEW EMPLOYMENT

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4-Mar-26	CHUA	DENVER G.	CERTIFIED FUND SPECIALIST	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Mar-26	BORROMEO	EFREN A.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Mar-26	TENGIAT	LAURENCE L.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Mar-26	BALBIERAN	RONILO M.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Mar-26	MILANGCO	VIOJANNE KAY F.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Mar-26	SANTOS	MARK ANTHONY M.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Mar-26	FRAGANTE III	RAFAEL T.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Mar-26	EDER	JAREX ANDRE A.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
3-Mar-26	GAN	RUBEN M.	SALESMAN	-	A & A SECURITIES, INC.	RESIGNATION (cleared)
3-Mar-26	TY	FELIX T.	DIRECTOR	-	SOLAR SECURITIES, INC.	DECEASED
3-Mar-26	CASULLA	JERALD E.	JUNIOR FRONTEND DEVELOPER	MID-LEVEL FRONTEND DEVELOPER	DRAGONFI SECURITIES INC.	RE-DESIGNATION
2-Mar-26	MOJICA	ANDREL V.	-	TECHNOLOGY SUPPORT SPECIALIST	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
2-Mar-26	WEE	NOAH PHILIPPE S.	SALESMAN	-	AURORA SECURITIES, INC.	RESIGNATION (cleared)
2-Mar-26	HAYAHAY	CATHERINE R.	-	SENIOR BACKEND DEVELOPER	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
2-Mar-26	HABELITO	JOYCE ANTONETTE C.	-	SETTLEMENT OFFICER	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	NEW EMPLOYMENT

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2-Mar-26	SANCHEZ	JO-AN CHEN H.	-	MESSENGER	WESTLINK GLOBAL EQUITIES, INC.	NEW EMPLOYMENT
2-Mar-26	DICHOSO	JOY L.	-	SETTLEMENT ASSISTANT	SALISBURY SECURITIES CORPORATION	NEW EMPLOYMENT
2-Mar-26	CASUBHA	LUCKY STAR N.	-	INTERN	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
2-Mar-26	LLACER	RYAN R.	-	BACKEND DEVELOPER	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
2-Mar-26	DELA ROSA	KEVIN B.	TRAINEE - SETTLEMENT	SETTLEMENT CLERK	QUALITY INVESTMENTS & SECURITIES CORP.	RE-DESIGNATION
2-Mar-26	ESTIMADA	ZERLYN MAY R.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
1-Mar-26	BOSITA	GIL ANGELO L.	DIGITAL MARKETING SR. ASSOCIATE	ASSISTANT MANAGER FOR MARKETING	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Mar-26	LIBOON	TWINKLE PAULINE R.	DIGITAL MARKETING SR. ASSOCIATE	ASSISTANT MANAGER FOR MARKETING	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Mar-26	MADRID	JONATHAN GABRIEL G.	RESEARCH ASSOCIATE	ASSOCIATE DIRECTOR, RESEARCH ANALYST	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
1-Mar-26	BASCAO	FRANCESCA ANN G.	ADMIN ASSISTANT	ADMIN ASSISTANT/ CORPORATE SECRETARY	DA MARKET SECURITIES, INC.	RE-DESIGNATION
1-Mar-26	FLORES	JOHN M.	IT HEAD	-	PAPA SECURITIES CORPORATION	RETIREMENT
1-Mar-26	GARCIA	LOURDES G.	ASSISTANT CORP. SEC	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
1-Mar-26	VARELA	MARIA ANGELICA ELENA M.	OIC – SALES AND TRADING	SALES AND TRADING DIRECTOR	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
1-Mar-26	PROCHINA	FLOR B.	TRADING ASSOCIATE	BRANCH MANAGER	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
1-Mar-26	YU	KENNETH VINCENT U.	TRADING ASSOCIATE	ONLINE TRADING HEAD	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION

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1-Mar-26	ESCARTIN	JASON T.	SALES AND TRADING ASSOCIATE	TRADITIONAL TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
1-Mar-26	IDOS	KURT RUSSEL M.	SALES AND TRADING ASSOCIATE	TRADITIONAL TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
1-Mar-26	GERONIMO	NORMAN E.	TRADING ASSOCIATE	TRADITIONAL TRADING ASSOCIATE	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
1-Mar-26	MONTELLANO	CHRISTIAN JOSEPH D.	TRADING ASSOCIATE	PROPRIETARY TRADER	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION
28-Feb-26	DISCHOSO	JOY L.	SETTLEMENT ASSISTANT	-	SARANGANI SECURITIES, INC.	RESIGNATION (cleared)
28-Feb-26	MABIOG	MORRIN ABI P.	SETTLEMENT ASST./ CORP. SEC.	-	DA MARKET SECURITIES, INC.	RESIGNATION (clearance under process)
27-Feb-26	AGUDO	MIRABELLE M.	JUNIOR FINANCIAL ASSOCIATE	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (cleared)
27-Feb-26	CHING	DINAE MADELYN C.	CORPORATE SECRETARY	-	ARMSTRONG SECURITIES, INC.	RESIGNATION (no clearance required)
27-Feb-26	OREJUDOS	GRETEL D.	TREASURER	TREASURER/ CORPORATE SECRETARY	ARMSTRONG SECURITIES, INC.	RE-DESIGNATION
26-Feb-26	CERVANTES	CHRISTOPHER G.	-	SALESMAN	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
24-Feb-26	AGUIRRE	JUAN ANTONIO L.	DIRECTOR	CHAIRMAN	LOPEZ, LOCSIN, LEDESMA & CO., INC.	RE-DESIGNATION
24-Feb-26	LOPEZ	EUSEBIO S.	CHAIRMAN	DIRECTOR	LOPEZ, LOCSIN, LEDESMA & CO., INC.	RE-DESIGNATION
23-Feb-26	CASAS	GERYL KYLE F.	ACCOUNTING ASSOCIATE	-	DRAGONFI SECURITIES INC.	RESIGNATION (cleared)
22-Feb-26	PABRUA	MARIA TERESA AGNES B.	ASSOCIATED PERSON	-	VC SECURITIES CORPORATION	RESIGNATION (no clearance required)
21-Feb-26	VALDEZ	HENDRICK JOHN L.	IT STAFF	-	CTS GLOBAL EQUITY GROUP, INC.	UPDATE: RESIGNATION (cleared)

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18-Feb-26	LAMMERSKOTTER	LARS RAPHAEL J.	-	SALESMAN	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
17-Feb-26	CAJAROP	HAZEL MAE M.	MULTI MEDIA DESIGNER	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
16-Feb-26	MENESES	EDWIN M.	CSR	ASSOCIATED PERSON	APEX (PHILIPPINES) EQUITIES CORPORATION	RE-DESIGNATION
16-Feb-26	PALICPIC	MARK DANNIEL M.	ASSOCIATED PERSON	-	APEX (PHILIPPINES) EQUITIES CORPORATION	RESIGNATION (rotated to affiliated company)
16-Feb-26	RODRIGUEZ	ISABELLA MAURA V.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Feb-26	VILLANUEVA	ANGEL CLYDE M.	-	JUNIOR ANALYST	LUNA SECURITIES, INC.	NEW EMPLOYMENT
16-Feb-26	PADLAN	RYLE MATTHEW L.	-	JUNIOR ANALYST	LUNA SECURITIES, INC.	NEW EMPLOYMENT
16-Feb-26	MIGUEL	JAMES KYLE N.	-	JUNIOR ANALYST	LUNA SECURITIES, INC.	NEW EMPLOYMENT
14-Feb-26	LEE	KRISTINE S.	CUSTOMER SUPPORT MANAGER	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
14-Feb-26	SY	CHRISTIANA ALEYNA F.	PRODUCT DEVELOPMENT ASSISTANT	-	CTS GLOBAL EQUITY GROUP, INC.	UPDATE: RESIGNATION (cleared)
14-Feb-26	ALVAREZ	KATELYN CASSANDRA	CONTENT WRITER	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
13-Feb-26	VILLANUEVA	ANGEL CLYDE M.	INTERN	-	LUNA SECURITIES, INC.	RESIGNATION (cleared)
13-Feb-26	PADLAN	RYLE MATTHEW L.	INTERN	-	LUNA SECURITIES, INC.	RESIGNATION (cleared)
13-Feb-26	MIGUEL	JAMES KYLE N.	INTERN	-	LUNA SECURITIES, INC.	RESIGNATION (cleared)
9-Feb-26	SANTOS	ALLEN PETER T.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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6-Feb-26	SAMARITA	RENZ S.	-	MESSENGER	MDR SECURITIES, INC.	NEW EMPLOYMENT
4-Feb-26	KEIDA	SHUNICHI	PRESIDENT/ CHAIRMAN/ NOMINEE	-	SEEDBOX SECURITIES, INC.	RESIGNATION (cleared)
4-Feb-26	SUGAY	JANINE MARIE ALEXANDRA V.	DIRECTOR	-	SEEDBOX SECURITIES, INC.	RESIGNATION (cleared)
4-Feb-26	MATSUMOTO	YUTARO	-	PRESIDENT/ CHAIRMAN/ NOMINEE-APPLICANT	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
4-Feb-26	HAGEDORN	PHILIP F.	-	DIRECTOR	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
3-Feb-26	MENCHAVEZ	MARIONNE T.	-	ACCOUNTING ANALYST	VICSAL SECURITIES & STOCK BROKERAGE, INC.	NEW EMPLOYMENT
2-Feb-26	DAYANAN	MARY FAITTE O.	ACCOUNTING STAFF	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (cleared)
16-Jan-26	TENORIO	JOLINA MAY	-	OPERATIONS MANAGER	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
2-Jan-26	DEL ROSARIO	EARL IAN W.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)
31-Jan-25	SEJANE	BEVERLY H.	SETTLEMENT OFFICER	-	GOLDSTAR SECURITIES, INC.	UPDATE: RESIGNATION (cleared)